



Budget

The Appropriations Bill passed by the Appropriations Committee on 3/30 contains a 2% COLA for Birth to Three programs effective October 1, 2006 (\$353,740) and then implementation of the rate study recommended \$338 unit rate, 1 unit for initial IFSP meetings, and increases to the supplemental rates effective January 1, 2007 (\$989,000). The Appropriations Bill still has a ways to go in order to be passed by the General Assembly and signed by the Governor. The idea of differential rates for Lower Fairfield County was not included.

FY06 Expenditures (incurred) for contracted programs

| | <i>Net invoices (insurance subtracted)</i> | <i>Includes Supplemental payments of:</i> | <i>Insurance Revenue</i> |
|-----------|--|---|------------------------------|
| July | \$ 2,056,254 | \$ 99,440 | \$ 219,384 |
| August | \$ 2,066,765 | \$113,139 | \$ 250,244 |
| September | \$ 2,110,244 | \$126,386 | \$ 204,303 |
| October | \$ 2,135,124 | \$128,852 | \$ 267,248 |
| November | \$ 2,325,259 | \$122,268 | \$ 256,301 |
| December | \$ 2,102,890 | \$104,233 | \$ 205,474 |
| January | \$ 2,089,597 | \$125,027 | \$ 259,697 |
| February | \$ 2,100,133 | \$115,296 | \$ 232,760 |
| Total | \$16,986,905 | \$931,641 | \$1,895,411 |

Parent Fees-FY06

| | <i>Gross</i> | <i>Net (collection costs and fees subtracted)</i> |
|-----------|--------------|---|
| July | \$62,351 | \$55,598 |
| August | \$57,415 | \$50,737 |
| September | \$54,860 | \$48,050 |
| October | \$59,880 | \$52,997 |
| November | \$60,165 | \$53,329 |
| December | \$56,445 | \$50,135 |
| January | \$57,933 | \$51,416 |
| February | \$66,160 | \$59,717 |
| March | \$62,775 | \$55,786 |

Enrollment – FY03 - FY06

All Programs

Data from data system

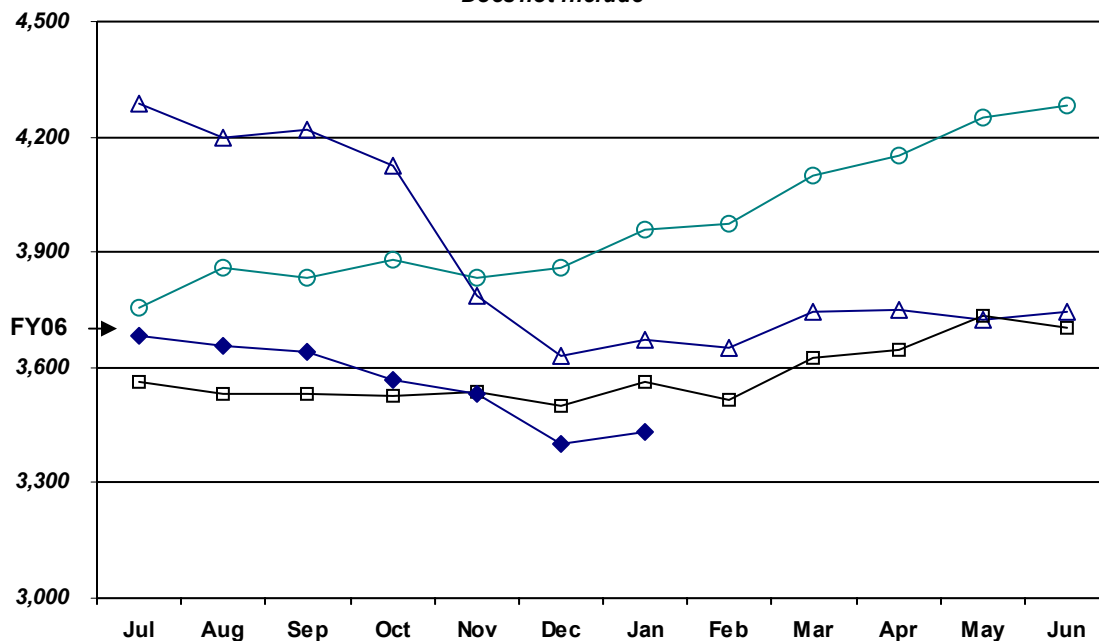
Data from invoices

| | <i>New Referrals</i> | <i>Eligible Children</i> | <i>Children Served</i> |
|-------------------|----------------------|--------------------------|------------------------|
| July, 2004 | 568 | 4,594 | 4,186 |
| August | 527 | 4,573 | 4,145 |
| September | 712 | 4,536 | 4,149 |
| October | 696 | 4,530 | 4,154 |
| November | 663 | 4,522 | 4,146 |
| December | 538 | 4,533 | 4,114 |
| January | 749 | 4,565 | 4,195 |
| February | 721 | 4,556 | 4,155 |
| March | 821 | 4,667 | 4,266 |
| April | 667 | 4,742 | 4,241 |
| May | 660 | 4,712 | 4,365 |
| June | 684 | 4,777 | 4,354 |
| July, 2005 | 533 | 4,744 | 4,206 |
| August | 615 | 4,660 | 4,152 |
| September | 665 | 4,521 | 4,136 |
| October | 603 | 4,536 | 4,050 |
| November | 643 | 4,476 | 4,015 |
| December | 538 | 4,409 | 3,875 |
| January | 736 | 4,382 | 3,914 |
| February | 612 | 4,338 | 3,857 |
| March | 665 | 4,426 | Not yet Available |

Contracted Programs

Inviced Children Served
* Does not include

○ FY03 △ FY04
□ FY05 ◆ FY06



The service and support office has been monitoring the names of children waiting for assignment to a program for initial evaluation daily. As of April 5, 47 children from the North Region and 106 children from the West region had been waiting more than two days. The one waiting the longest was from March 3 (West Region).

IDEA

State Performance Plan

We did fairly well on the SPP, however, as we knew, those compliance items that were not 100% were still cited as being out of compliance (45 days, timely services, transition conferences.) We'll be filing our next report by February, 2007 based on FY06 data. They did accept our definition of 45-days after the IFSP as "timely services" but they objected to our use of only initial IFSPs. In the next report, we'll have to include data on IFSPs for all eligible children in FY06.

OSEP Data Verification Visit

OSEP is planning a visit to Connecticut Part C and Part B on August 9th and 10th. Typically they try to conduct at least a conference call with the Focused Monitoring Stakeholders and/or ICC prior to the visit.

Westat Visit for Monitoring Study

Westat, the contractor that collects all of the December 1 data for IDEA Part B and Part C is also a subcontractor on a study to report on what states are doing in terms of monitoring local programs and school districts. They selected 20 states for an on-site visit and Connecticut is one of them. They will be visiting us on June 5 and 6 to look at monitoring during the 2004-2005 fiscal year.

Dispute Resolution Activity FY06

Hearings

1 held October 3, 6, and 7. Family Junction. Issue: A parent requested that the Birth to Three System provide (as payer of last resort) a myoelectric prosthetic arm for a child born with only one arm. The hearing officer ruled in favor of the parent. The full decision is posted on the Birth to Three website and was issued within the 30-day timeframe.

Mediations

1 held 8/1/05. CREC. Issue: A parent of a child on the autistic spectrum would like the program to pay for her child to attend a child care center two mornings/week, \$150/month in order to increase his social interaction skills. No agreement reached.

new 1 scheduled for 4/7/06, will be rescheduled. Reachout. Issue: A family that wants increased and very specific therapy services for a child with significant brain damage.

Complaints

Three formal written complaints were received and responded to within the 60 day time limit:

2/3/06 alleges lack of written prior notice for an evaluation/assessment. Easter Seals CT

Finding: Written prior notice is not required for ongoing assessment or re-assessment, however, the program was out of compliance for writing "Child is no longer eligible" on the home visit note because that decision can only be made at an

IFSP meeting. Child has continued in the program, new IFSP meeting scheduled for end of April.

2/9/06 alleges a violation of confidentiality by a subcontracted speech pathologist. SARAH Finding: The speech pathologist subcontractor absolutely violated the family's right to confidentiality by directly releasing a report to the LEA that the family had not even seen first. The speech pathologist thought that since the child had been referred to the LEA, she could send any and all information. The family was extremely upset because they did not agree with the report. The report was destroyed, but from the family's point of view, the damage had already been done. The program will add language to their subcontracts specifying that all personally identifiable information can only be sent to the program office. The speech pathologist, who works for another Birth to Three program, received a strong recommendation that she attend days 2 and 3 of service coordination training as a refresher.

new 2/25/06 alleges lack of timely service and lack of IFSP services. The initial IFSP indicated "OT one-time only". The OT did not come out to assess the child until 76 days after the IFSP meeting. This was a child who had received OT services from a previous state and for whom there were sensory/motor concerns. When the OT did evaluate, OT services 2X/month was added to the IFSP. Early Connections West

Finding: The program should have ensured that the OT visit occurred within 45 days of the IFSP meeting, and therefore was out of compliance with "timely services".

They were told to provide two months worth of compensatory services (4 additional OT visits). The IFSP services were scheduled to be provided as written and no IFSP services had been "missed" at the time the complaint was filed.

Quality Assurance

Five programs were selected to receive on-site monitoring in the second half of FY06 those are:

Child Find (% of IFSP meetings held within 45 days of referral to Infoline)

Early Connections West – Medium program. Completed.

Service Delivery (timely services)

Rehab Associates – Large program. Scheduled for May

Transition (% of transition conferences held on time)

East Hartford – Small Program. Completed

SARAH – Medium program. Scheduled for April

ARC Greenwich – Large program. Scheduled for June

Birth to Three Centralization Plans

| | | | | | |
|---|---|--|---|--|--|
| <p>Service & Support (co-located with Infoline)</p> <ul style="list-style-type: none"> • All inquiries from families and providers • Complaints and due process • Surrogate parents • Supplemental rates • Assistive tech requests <p style="text-align: center;">203-294-5168 OR TOLL FREE # 1-866-888-4188</p> | <p>Policy & Practice</p> <ul style="list-style-type: none"> • Guidelines • Policy & Procedure • Planning • Eligibility • Keep providers informed of research on evidence-based practices • Training & TA | <p>Finance, Contracting, and Billing</p> <ul style="list-style-type: none"> • Contracting & payments • Financial Reports • Medicaid/ins. Issues • Parent billing issues | <p>Quality Assurance-</p> <ul style="list-style-type: none"> • Focused monitoring • Biennial Performance Reports • Credentialing • Data System and Reporting | <p>State ICC support</p> <ul style="list-style-type: none"> • ICC meeting arrangements • Mailings, minutes, correspondence, • Resource manual, • Orientation for new members, • Subcommittee support | <p>Public Awareness & Publications</p> <ul style="list-style-type: none"> • Parent materials • Marketing materials • Translations • Website content |
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