

State Interagency Coordinating Council

January 22, 2024, Minutes

9:00 AM – 12:00 PM

Plaza North – Hearing Room B, 450 Columbus Blvd, Hartford

Cynthia Jackson

ICC Chair/Provider

Leona Adamczyk

ICC Vice Chair

John Lamb

Dept. of Public Health

Tiffanie Allain

Parent Representative

Donna Maitland-Ward

Dept. for Children and Families

Maris Pelkey

Creative Interventions, Provider

Elaine Balsley

Reachout, Provider

Nicole Cossette

Office of Early Childhood

Senator Ceci Maher

State Senator

Shonda Easley

Parent representative

Sharon Marie

Dept. of Aging & Disabilities Services

Ginny Mahoney

Dept. of Social Services

Anne Giordano

Education Connection, Provider

Louis Tallarita

SDE-Homeless Children

Renee Kleinman

SDE-619, Pending

Julie Hall

SARAH Inc, Provider

Enrique Pabon

Early Head Start

Ann Milanese, M.D.

American Academy of Pediatrics

Representative Liz Linehan

House of Representatives

Tammy Venenga

Dept. Of Developmental Services

Mary Beth Bruder

UCEDD

Lisa Opert

Parent Representative

Robb Dunn

Parent Representative

Caitlin McNamara

Parent representative

Joshua Vaughn

Parent Representative

Shakira Wade

Parent Representative

Jennifer Dowty

Department of Insurance

Kristina Gilbertie

Rehab Associates, Provider, Pending

ICC Members Present: Donna Maitland-Ward, Julie Hall, Enrique Pabon, John Lamb, Lisa Opert, Anne Giordano, Robb Dunn, Maris Pelkey, Renee Kleinman (pending appointment), Caitlin McNamara (Remote), Cynthia Jackson, Elaine Balsley, Shakira Wade, Kristina Gilbertie, Nicole Cossette, Sharon Marie, Shanda Easley, Joshua Vaughn, Ginny Mahoney, Leona Adamczyk

ICC Members Absent: Ann Milanese, Tammy Venenga, Jennifer Dowty, Ceci Maher, Liz Linehan, Louis Tallarita, Mary Beth Bruder, Tiffanie Allain

OEC Members Present: Commissioner Beth Byes, Deputy Commissioner Elena Trueworthy.

Meeting called to order at 9:05 AM by Cindy Jackson, ICC Chair

Introductions: Members introduced themselves. Commissioner Byes addressed the ICC and Providers welcoming them to the OEC.

Old Business:

December 2023 minutes approved.

Cost Report/Personnel/Time Study:

Deputy Commissioner Trueworthy addressed the ICC and the Providers that were present. She discussed the rate study being presented.

Nicole Cossette, Part C Coordinator, thanked PCG for their assistance with completing the rate study. She discussed that this is a planning year, and this study will help support the OEC with planning for 2025 to address the rates within Birth to Three.

Joseph Carbeau, senior consultant at PCG, with support from Jill Rigsby, joined remotely. Joseph reviewed the final report for the rate study with the ICC; he reviewed the methodology that was used to come up with the proposed professional rates for Birth to Three. He discussed proposed rates for Evaluations, IFSP, Assessments, Professional EI Visits, and Paraprofessional EI Visits. A 5-tiered rate system was presented. Council Members and Providers expressed concerns over the different rates proposed in the tiered payment system. Tina Banas, Benchmark Infant and Toddler Services, discussed concerns with the differences in the professional rates, especially since the rate of doing business in Connecticut was determined by this rate study to be \$167. Kristina Gilbertie, Rehab Associates, discussed concerns that the tiers are based on training within Birth to Three and not a provider's education level or years of experience. Robb Dunn, ICC Member, proposed a question to the providers asking if the 20% increase for the base number of \$142.00 be an increase to Provider's profits? Providers did not necessarily respond but restated that it is below what has been reported as the cost of providing Early Intervention services in Connecticut. Providers again brought up their concern with the tiered rate system based on training. One provider gave the example that they could hire a Doctorate level Physical Therapist (PT) with 15 years' experience, and they would be at the lowest professional level, but it will

cost a program more in salary for a PT than an Early Intervention Specialist. Leona Adamczyk, ICC Member, stated that she did not see entry level as a new clinician, but more so someone entering within the Birth to Three system. Nicole reiterated that nothing is written in stone and that 2024 is a planning year. The report is to support planning for the 2025 year, along with stakeholder input. Nicole tabled the discussion at this time to be later discussed at the provider meeting that followed the ICC Meeting.

Lead Agency Report: Nicole Cossette, Part C Coordinator, reviewed the Lead Agency Report. See below.

Nicole updated the ICC on the APR/SPR report which is due 1/31/2023. She reviewed the results and discussed indicators 5 and 6 which both showed a drop in scores. She stated that the data correlates with the period of time the Lead Agency uncovered the backlog and challenges within Child Development 211. Nicole discussed that plans have gone into place, including the 211 Advisory Board as well as a staff member who is focused full time at overseeing all things Child Find related.

Nicole provided updates on the Part C application. Please see below. The current timeline: Draft on February 26, review application. Will go to public comment March 25, April 9 and April 23 public hearings. Comments are due by April 24, and the lead agency will submit April 26. This could all change based on when the application is due.

Lorie Mael, Project Interact, Inc. asked when providers would be hearing more on the COLA and what the providers will be receiving. Nicole reported that she should have more information by Friday, January 26. She stated that she is waiting for the amended contracts. Once those are approved, they can go out to providers, and she can provide more information at that time. Nicole also reported that there will be a new line added on the January invoice for the COLA. Once Nicole has approval she will share with ICC and Providers.

Public Comment:

There was no public comment.

Old Business:

September 2023 minutes approved.

There were no updates from Committees due to time.

New Business:

CPAC Family Connections Update: Leona Adamczyk provide updates from Adriana Fontaine with regards to Family Connections:

We received 69 referrals to the Family Connections Program during this quarter, 48 families accepted services. We were unable to contact 4 families and we are on our third attempt to contact 17 families. Currently, six families are being supported by mentors. The Early Childhood team focused on providing support to these families, contacting them on 78 occasions to discuss the topics highlighted below. Our Early Childhood Manager attended the Division of Early Childhood 2023 Conference in Minneapolis. Among the most relevant sessions she attended: Lifting Family Voices: Equity in Child Find, First Language Acquisition: Development of Infants and Toddlers, Parent Implemented Models for ASD in Early Intervention: 5 Public Policy Arguments, Federal Early Childhood Resources, Inclusion of Children with Disabilities in Early Childhood Programs (OSEP), and future leaders for Young Children's Social Emotional Development. Our Fall Early Childhood newsletter was sent out on 11/08 and it included articles from ECTA about professional roles in Early Intervention, Pyramid newsletter articles about How to Get Your Child to Understand Your Requests, a podcast for Young Children called "Little Kids, Big Hearts, and Parenting Tips for Language Development.

Birth to Three Reporting- Quarterly Oct 15, Jan 15, April 15, July 15 FAMILY CONNECTIONS	Q1 07/01/23-09/30/23	Q2 10/01/23-12/31/23																																																						
1) Number of families who called for technical assistance by the content of request:	<table border="0"> <tr><td>Birth to Three Support</td><td>19</td></tr> <tr><td>Family Connections Intake</td><td>14</td></tr> <tr><td>Birth to Three Transition</td><td>5</td></tr> <tr><td>Sparkler</td><td>10</td></tr> <tr><td>IEP/IFSP</td><td>3</td></tr> <tr><td>PPT</td><td>4</td></tr> <tr><td>Related Services</td><td>2</td></tr> <tr><td>Evaluation</td><td>5</td></tr> <tr><td>Birth to Three Questions</td><td>6</td></tr> <tr><td>Eligibility</td><td>3</td></tr> <tr><td>Total:</td><td>81</td></tr> </table>	Birth to Three Support	19	Family Connections Intake	14	Birth to Three Transition	5	Sparkler	10	IEP/IFSP	3	PPT	4	Related Services	2	Evaluation	5	Birth to Three Questions	6	Eligibility	3	Total:	81	<table border="0"> <tr><td>Birth to Three Support</td><td>30</td></tr> <tr><td>Birth to Three Transition</td><td>15</td></tr> <tr><td>Sparkler</td><td>10</td></tr> <tr><td>IEP/IFSP</td><td>2</td></tr> <tr><td>LRE</td><td>1</td></tr> <tr><td>Birth to Three Survey</td><td>1</td></tr> <tr><td>Early Intervention</td><td>1</td></tr> <tr><td>Legal Rights</td><td>1</td></tr> <tr><td>Evaluation</td><td>2</td></tr> <tr><td>Language/culture</td><td>1</td></tr> <tr><td>Local resources</td><td>7</td></tr> <tr><td>Communication</td><td>1</td></tr> <tr><td>Child Find</td><td>3</td></tr> <tr><td>PPT</td><td>2</td></tr> <tr><td>Eligibility</td><td>1</td></tr> <tr><td>Total</td><td>78</td></tr> </table>	Birth to Three Support	30	Birth to Three Transition	15	Sparkler	10	IEP/IFSP	2	LRE	1	Birth to Three Survey	1	Early Intervention	1	Legal Rights	1	Evaluation	2	Language/culture	1	Local resources	7	Communication	1	Child Find	3	PPT	2	Eligibility	1	Total	78
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2) Number of parents referred to Family Connections	62 referrals, 51 families contacted/71 contacts from them	69 referrals, 47 families contacted/78 contacts from them																																																						
3) Number of parents who received supportive services were referred at this time and chose to participate.	51 reached and accepted services <ul style="list-style-type: none"> • 10 families unable to contact. • 1 family we are trying to reach 	47 families reached and accepted services <ul style="list-style-type: none"> • 4 families unable to contact • 17 families we are trying to reach 																																																						
4) Number and type of information or supportive services to providers through outreach, early childhood events, staff meetings, or other	<table border="0"> <thead> <tr><th>Date</th><th>Support Type</th><th>Staff</th></tr> </thead> <tbody> <tr><td>8/10</td><td>Outreach event Hartford Healthcare</td><td>JC</td></tr> <tr><td>8/12</td><td>Outreach event CIFS</td><td>JC</td></tr> </tbody> </table>	Date	Support Type	Staff	8/10	Outreach event Hartford Healthcare	JC	8/12	Outreach event CIFS	JC	<table border="0"> <thead> <tr><th>Date</th><th>Support Type</th><th>Staff</th></tr> </thead> <tbody> <tr><td>10/31</td><td>Outreach Danbury Public Schools</td><td>JC</td></tr> <tr><td>10/17</td><td>SEL Training Northeast Early Childhood</td><td>JC</td></tr> </tbody> </table>	Date	Support Type	Staff	10/31	Outreach Danbury Public Schools	JC	10/17	SEL Training Northeast Early Childhood	JC																																				
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5) Number of calls from B23 Providers by the content of request	Two Birth to Three providers received support regarding transition process, IEP and LRE. One provider was from Benchmark and the other from Sarah Inc.	Two Birth to Three providers received support regarding transition process and resources																																																						
6) Training - #s provided in all modalities and audiences.	6 events were held for professionals and families. See the topic and attendance information below in the Training table. We hosted 2 support group sessions. *support groups have resumed after summer break	6 events were held for professionals and families. See the topic and attendance information below in the Training table. We hosted 3 Support group sessions.																																																						
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8) Supervision and Monitoring activities	None Requested at this time	None Requested at this time
9) A narrative describing activities related to the contract and delivered to the SICC -	Leona attended and presented CPAC data 9/19/23	Adriana attended and presented CPAC data 12/4/23

Committee Report Out

Legislative/Fiscal:

Chairs: Elaine Balsley, Julie Hall, and Shanda Easley

Reported back that they discussed plans for the upcoming Legislative/Fiscal meeting on February 5th which will be via Zoom. Information to be sent out to ICC Council Members and Program Directors.

System Support/Professional Development:

Chairs: Anne Giordano and Robb Dunn

Discussed EIS credentials and how this relates to current training provided. Discussed how this may impact programs.

Communication/Education & Outreach:

Chairs: Lisa Opert and Maris Pelkey

Lisa and Leona shared that they discussed the next ICC Meeting scheduled for April 1st which will be the family focused meetings. They asked if anyone had families who would like to share their stories to connect them with Leona.

Meeting ended 12:05 PM

Legislative/Fiscal Meeting

Date:	Monday, February 5
Time:	9:00 AM to 12:00 PM
Location:	Zoom

Next ICC Meeting

Date:	Monday, April 1 st – Family Focused Meeting
Time:	9:00 AM to 12:00 PM
Location:	American School for the Deaf, West Hartford



State Systemic Improvement Plan (SSIP)

*Parents will be able to describe their child's abilities and challenges more effectively.
as a result of their participation in Early Intervention.*

FFY20-25 SPP / APR SSIP

- SPP/APR is going to be submitted next week!
 - There was slippage on the following indicators: Indicator 5, child find birth to one; Indicator 6, child find birth to three.
 - While we did not meet our target in Indicator 4, family involvement, we were above the national average in 4A, families knowing their rights, 4B, families effectively communicating their child's needs, and 4C, families helping their child develop and learn.
- The final posting will be available here: [Annual Performance Reports – Birth23.org](#).
- The Lead Agency will review the Public Reporting Tables at the next ICC meeting.

Fiscal Enhancements

- Part C Grant Application:
 - The application is attached at the bottom of this report.
 - The online publication can be found here: [Annual Part C Application – Birth23.org](#).

Education Outreach

- The 211 Advisory Board has its first meeting to discuss the Birth to Three Systems intake process.
- The Birth to Three Lead Agency continues biweekly conversations with 211 Intake staff.
 - Between these meetings the Lead Agency has asked the intake staff to share data on the number of calls including those pending the initial call to families.
- The Lead Agency is working to attend more tabling events during 2024.
- Rebecca Smith is currently working on partnering with the Community Health Centers on child find efforts.

Leadership Grant

- Cohort 3 is working hard on moving through the competencies and will begin their projects.

Personnel Development (PD) Grant (84.325P)

- The 84.325P grant funds are expended. The Lead Agency will submit the final report will be this week.
- The last three years Linda Bamonte, Koleen Kerski, and Sabrina Crowe have worked diligently to update the professional development system to provide PD to the Birth to Three systems contracted providers. They have also worked diligently on cross collaboration between Institutes of Higher Education (IHEs) to recruit professionals.
- Please see the attached RAINBOW to reference the Reliable Accountable Integrated Network: Building Our Workforce (RAINBOW) grant.

- Next Steps: To sustain this work beyond the grant Bonnie Keilty, Ph.D., is partnering with several local IHEs to determine how align better preservice preparation for students interested in pursuing clinical placements/careers in Early Intervention (EI). In addition, Dr. Keilty is formulating a process to align existing preservice preparation and clinical experience to provide maximum credit as it relates to the Early Intervention Specialist (EIS) credential.

Personnel Development

- The Lead Agency has received interest in the Early Intervention Specialist (EIS) Credential Exam. When individuals reach out the LA shares more information.
 - The proctored exam is scheduled quarterly, with dates for 2024 posted on the Birth to Three calendar. The calendar can be found here: [Events from January 23, 2023 – April 28, 2023 – Birth23.org](#)
- The Lead Agency is working with Protraxx to launch a learning pathway for Service Coordination training. The goal is to eliminate staff needing to register for multiple courses to meet the in-person one-day training prerequisites. Our contact at Protraxx shared that the new learning pathway should be ready to launch in Spring 2024.

General Supervision

- The Lead Agency General Supervision team continues to provide technical assistance (TA) to 4 contracted Early Intervention (EI) programs. The TA topics include transition, fiscal, and procedural supports.
- The Lead Agency continues to provide TA to 211 Child Development in the correction of noncompliance.
- Each year the Lead Agency completes and scores a Priority Rubric. This rubric is used to determine the level of technical assistance each contracted provider receives.
 - The Lead Agency is looking for recommendations for the 2024 rubric's data measures. Please share any feedback to Elisabeth Teller at Elisabeth.Teller@ct.gov by February 16, 2024.
 - For examples and suggestions the 2023 Priority Rubric is attached.

Data

The enrollment and fiscal data requested by the ICC on a regular basis is attached.

SECTION III – REGULAR PART C FUNDS

A. Description of Use of Federal IDEA Part C Funds for the State Lead Agency (LA) and the Interagency Coordinating Council (ICC)¹

Please Note: Completion of Section IIIA is required for all States, regardless of lead agency.

When completing this section include:

- Totals for the number of lead agency and ICC administrative positions, salaries and fringe benefits funded either 100 percent and/or less than 100 percent with Federal IDEA Part C funds;
- A general description of the duties which the positions entail;
- A distinction between lead agency and ICC roles: insert (LA) or (ICC) in the “Description of Duties;” after each position; and
- A subtotal of the amount.

Identify any administrative positions for which less than 100% of the time is spent on Part C and, for each such position, indicate the percentage of time spent on Part C and the total amount of salary and fringe benefits included in the Part C application budget.

Positions Funded	Number of Positions	% of Time Spent on Part C	Salaries & Fringe Benefits	Description of Duties
100% funded with Part C Funds	9	100%	\$1,467,934.68	Education and Outreach (child find and public awareness); Personnel Development (scale up of EBPs, training, technical assistance for EIS programs & staff, managing procedures); State ICC Support Staff; Clerical support; Monitoring and General supervision; Family Liaison; Leadership, long range planning, and interagency coordination. Part C Data Manager
< 100% funded with Part C Funds	1	1 @ 85%	\$180,353.24	Part C Leadership and long range planning
Subtotal of amount under A:			\$1,648,287.92	

¹ Federal IDEA Part C funds used to support the SICCC must meet the requirements of 34 CFR §303.603.

B. Maintenance and Implementation Activities for the Lead Agency and the ICC

When completing this section include:

- A description of the nature and scope of each major activity to be carried out under Part C in maintaining and implementing the statewide system of early intervention services:
 - Lead Agency Activities could include enhancing the Comprehensive System of Personnel Development, implementing child find strategies, or ensuring a timely, comprehensive, multidisciplinary evaluation for each child;
 - ICC Activities could include coordinating child find identification efforts, ensuring the timely provision and payment of early intervention services to eligible children and their families, advising on early childhood transition, support for the ICC (travel), or other implementation and development activities of the ICC
- The approximate amount of Federal IDEA Part C funds to be spent for each activity; and
- A subtotal of the amount.

Special Note: Prior Approval

Some direct costs require prior approval. These items include using Federal IDEA Part C funds to pay for: (1) equipment; (2) participant support costs (such as training or travel costs); (3) construction or renovation of facilities; and (4) rent, occupancy or space maintenance costs.² The October 2019 *Frequently Asked Questions (2019 FAQs) Prior Approval – OSEP and RSA Formula Grants*³ which provides prior approval for certain **equipment and participant support costs**. Please review this FAQ at <https://www2.ed.gov/policy/speced/guid/faq-prior-approval-10-29-2019.pdf> prior to completing Section III. B. For any activity or expense listed under Section III of this application that is not covered by the scope of the 2019 FAQs and requires OSEP prior approval, mark an “X” in the chart below. The State must submit supporting documentation for any direct costs that require OSEP prior approval.

Approval of the State’s FFY 2022 application does not constitute OSEP’s approval of these expenses unless specifically referenced. (Add rows as needed)

Major Activity/ Expense	Part C Funds to be Spent	Description of Activities/Expenses (include whether it is for the Lead Agency or SICC)	Prior Approval Needed
Operating Expenses	\$222,000	Third party billing contractor fees, Translation services; supplies; printing, postage, and delivery services; travel and mileage reimbursement for lead agency staff.	
Data System	\$24,500	Renewal of software & maintenance as well as server use and data consultant services.	
Procedural Safeguards	\$11,000	As needed for contracted mediators, hearing officers & court reporter expenses for hearings. Funding to pay surrogate parent fees through PTI when needed.	

² IDEA and the Uniform Guidance require prior approval for the following expenses: (1) equipment (tangible personal property (including information technology systems) having a useful life of more than one year and a per unit acquisition cost which equals or exceeds the lesser of the capitalization level established by the nonfederal entity for financial statement purposes, or \$5,000 (see 2 CFR §200.33)); (2) participant support costs (direct costs for items such as stipends or subsistence allowances, travel allowances, and registration fees paid to or on behalf of participants or trainees (but not employees), in connection with conferences or training projects 2CFR §200.75; (3) construction/renovation (see 2 CFR §200.12(b)); and (4) rent (see 2 CFR §200.465)).

³ Under the 2019 FAQs, OSERS granted prior approval for participant support costs under IDEA that: (1) are associated with required meetings for the SICC; (2) incurred as part of providing services identified on an IFSP under IDEA; (3) do not exceed \$5000 per individual participant per training/conference. In addition, the 2019 FAQs provide prior approval for equipment that is identified on or directly related to the implementation of the IFSP.

Major Activity/ Expense	Part C Funds to be Spent	Description of Activities/Expenses (include whether it is for the Lead Agency or SICC)	Prior Approval Needed
Supervision & Monitoring	\$31,000	Payment for parent members on monitoring teams and general supervision stakeholder groups. Funding for family survey (C4/SSIP).	
Assistive Technology Support & Recycling	\$193,800	Funding to a non-profit agency that inventories, refurbishes, & recycles Birth to Three assistive technology devices & provides training on assistive technology for providers as well as assistance in making AT purchases.	
Family Support and Leadership	\$78,000	Funding for the PTI to provide a parent voice in all Part C activities including training, technical assistance, parent mentoring and family support. Supporting CT Infant mental health reflective supervision groups for programs. Production and shipping of parent rights and SOP brochures in various languages.	
Medical Advisor	\$18,000	Funding for the availability of a Board-certified Developmental Pediatrician to advise the early intervention system on all medical & health issues, including eligibility for children with diagnosed conditions. The pediatrician consults with other sub specialists as needed.	
Training & Technical Assistance	\$475,000	Funding to scale up evidence-based practices as part of Personnel Development for the SSIP as well as other specialty training and targeted technical assistance early intervention service providers and program directors. License for a learning management system and meeting expenses.	
Public Awareness & Child Find	\$196,000	Funding to support Education and Outreach activities related to the SSIP. Production of child find materials, outreach events and activities to increase primary referral sources' understanding about early intervention, ASQ development monitoring services.	
ICC Activities	\$15,000	Support for the ICC activities including meetings, supplies, and travel to leadership and IDIO meetings. PTI training and support of parent members; annual family forum day.	

Major Activity/ Expense	Part C Funds to be Spent	Description of Activities/Expenses (include whether it is for the Lead Agency or SICC)	Prior Approval Needed
General Administrative Payment (GAP)	\$1,729,942.08	An administrative per child for the program with an IFSP on the 1st of the billing month that plans for less than 9 hours of service per month and as long as at least one service was provided during the billing month. GAPs for children that transfer programs are paid to the program with the IFSP on the 1st of the month as long as a service was provided by the sending program before the transfer. Receiving programs will be paid the GAP in the following month, as long as all the requirements for a GAP are in place.	
Subtotal of amount under B:	\$2,994,242.08		

DRAFT

C. Direct Services (Funded by Federal IDEA Part C Funds)

When completing this section include:

- A description of any direct early intervention service that the State lead agency expects to provide to eligible children and their families with Federal IDEA Part C funds;
- The approximate amount for each direct service (States must disaggregate by service the approximate amount of Federal IDEA Part C funds expected to be expended for each direct service); and
- A subtotal of the amount.

(Add rows as needed.)

Description of Each Direct Early Intervention Service	Approximate Amount of Federal IDEA Part C Funds to be Spent on Each Direct Service
Subtotal of amount under C:	\$0.00

D. Activities by Other State Agencies

If State agencies (other than the State lead agency) are to receive a portion of the Federal IDEA Part C funds and that amount is not already identified in Section III.C above, the State must include in this section:

- The name of each State public agency expected to receive funds;
- The approximate amount of funds each State public agency will receive; and
- A summary of the purposes for which the funds will be used.

Provide subtotal of amount. *(Add rows as needed.)*

State Agency Receiving Funds	Amount of Funds	Purpose
Subtotal of amount under D:	\$0.00	

E. Description of Optional Use of IDEA Part C Funds⁴

In addition to using Federal IDEA Part C funds to maintain and implement the statewide system of early intervention, States may use funds for:

- expanding and improving on services for infants and toddlers and their families that are otherwise available; and
- initiating, expanding, or improving collaborative efforts related to at-risk infants and toddlers in any State that does not provide services for at-risk infants and toddlers. The application must include:
 - The name of the major activity;
 - The approximate amount of funds to be spent; and
 - A description of the activities.

Provide subtotal of amount. *(Add rows as needed.)*

Major Activity	Part C Funds to be Spent	Description of Activities
Subtotal of amount under E:	\$0.00	

⁴ See IDEA section 638.

F. Totals

Enter the subtotal amounts for Sub Sections A-E found in Section III and any indirect costs charged as specified in Section IV.B. The subtotal amounts (Rows 1-6) should total the estimated grant application amount. (A State may apply for less than the full estimated allotted amount.)

Row No.	Section	Amount
Enter the subtotal amounts for Sub Sections A-E found in Section III of this application.		
1.	III.A.	\$1,648,287.92
2.	III.B.	\$
3.	III.C.	\$2,994,242.08
4.	III.D.	\$
5.	III.E.	\$
Enter any Indirect Costs to be Charged (See Section IV.B of this application.)		
6.	IV.B	\$65,307.00
Total (Rows 1-6)		\$4,707,837

Second Section III– Part C Extension Grant

A. Description of Use of Federal IDEA Part C Funds for the State Lead Agency (LA) and the Interagency Coordinating Council (ICC)⁵

Please Note: Completion of Section IIIA is required for all States, regardless of lead agency.

When completing this section include:

- Totals for the number of lead agency and ICC administrative positions, salaries and fringe benefits funded either 100 percent and/or less than 100 percent with Federal IDEA Part C funds;
- A general description of the duties which the positions entail;
- A distinction between lead agency and ICC roles: insert (LA) or (ICC) in the “Description of Duties;” after each position; and
- A subtotal of the amount.

Identify any administrative positions for which less than 100% of the time is spent on Part C and, for each such position, indicate the percentage of time spent on Part C and the total amount of salary and fringe benefits included in the Part C application budget.

Positions Funded	Number of Positions	% of Time Spent on Part C	Salaries & Fringe Benefits	Description of Duties
100% funded with Part C Funds				
< 100% funded with Part C Funds				
Subtotal of amount under A:				

⁵ Federal IDEA Part C funds used to support the SICCC must meet the requirements of 34 CFR §303.603.

Second Section III – Part C Extension Grant (Continued)

B. Maintenance and Implementation Activities for the Lead Agency and the ICC

When completing this section include:

- A description of the nature and scope of each major activity to be carried out under Part C in maintaining and implementing the statewide system of early intervention services:
 - Lead Agency Activities could include enhancing the Comprehensive System of Personnel Development, implementing child find strategies, or ensuring a timely, comprehensive, multidisciplinary evaluation for each child;
 - ICC Activities could include coordinating child find identification efforts, ensuring the timely provision and payment of early intervention services to eligible children and their families, advising on early childhood transition, support for the ICC (travel), or other implementation and development activities of the ICC
- The approximate amount of Federal IDEA Part C funds to be spent for each activity; and
- A subtotal of the amount.

Special Note: Prior Approval

Some direct costs require prior approval. These items include using Federal IDEA Part C funds to pay for: (1) equipment; (2) participant support costs (such as training or travel costs); (3) construction or renovation of facilities; and (4) rent, occupancy or space maintenance costs.⁶ The October 2019 *Frequently Asked Questions (2019 FAQs) Prior Approval – OSEP and RSA Formula Grants*⁷ which provides prior approval for certain **equipment and participant support costs**. Please review this FAQ at <https://www2.ed.gov/policy/speced/guid/faq-prior-approval-10-29-2019.pdf>) prior to completing Section III. B. For any activity or expense listed under Section III of this application that is not covered by the scope of the 2019 FAQs and requires OSEP prior approval, mark an “X” in the chart below. The State must submit supporting documentation for any direct costs that require OSEP prior approval.

Approval of the State’s FFY 2021 application does not constitute OSEP’s approval of these expenses unless specifically referenced.

(Add rows as needed)

Major Activity/ Expense	Part C Funds to be Spent	Description of Activities/Expenses (include whether it is for the Lead Agency or SICC)	Prior Approval Needed
Operating Expenses	\$100,000	3rd party billing contractor fees for increased claims for EIS over 3; translation services, printing, postage / delivery for all new and revised procedures / notices related to EIS Over 3; travel and mileage reimbursement for lead agency staff to host regional follow-up convenings with LEAs and EIS Programs.	

⁶ IDEA and the Uniform Guidance require prior approval for the following expenses: (1) equipment (tangible personal property (including information technology systems) having a useful life of more than one year and a per unit acquisition cost which equals or exceeds the lesser of the capitalization level established by the nonfederal entity for financial statement purposes, or \$5,000 (see 2 CFR §200.33)); (2) participant support costs (direct costs for items such as stipends or subsistence allowances, travel allowances, and registration fees paid to or on behalf of participants or trainees (but not employees), in connection with conferences or training projects 2CFR §200.75; (3) construction/renovation (see 2 CFR §200.12(b)); and (4) rent (see 2 CFR §200.465)).

⁷ Under the 2019 FAQs, OSERS granted prior approval for participant support costs under IDEA that: (1) are associated with required meetings for the SICC; (2) incurred as part of providing services identified on an IFSP under IDEA; (3) do not exceed \$5000 per individual participant per training/conference. In addition, the 2019 FAQs provide prior approval for equipment that is identified on or directly related to the implementation of the IFSP.

Major Activity/ Expense	Part C Funds to be Spent	Description of Activities/Expenses (include whether it is for the Lead Agency or SICC)	Prior Approval Needed
Training and Technical Assistance	\$145,000	Funding to scale up evidence-based practices as part of Personnel Development for the SSIP as well as other specialty training and targeted technical assistance early intervention service providers and program directors. License for a learning management system and meeting expenses.	
Public Awareness & Child Find	\$150,000	Production of new flyers and PR for referral sources re: changes. All PA/CF materials updated to include EIS over 3; Contract with single point of entry increased to cover increases in the number of families who qualify for ASQ monitoring over age 3 when not eligible for Part B. Contracting out related Birth23.org website updates.	
General Administrative Payment (GAP)	\$613,420.21	An administrative per child for the program with an IFSP on the 1st of the billing month that plans for less than 9 hours of service per month and as long as at least one service was provided during the billing month. GAPs for children that transfer programs are paid to the program with the IFSP on the 1st of the month as long as a service was provided by the sending program before the transfer. Receiving programs will be paid the GAP in the following month, as long as all the requirements for a GAP are in place. These funds will be utilized for those who are eligible for GAP and over the age of three.	
Subtotal of amount under B:	\$1,008,420.21		

Second Section III – Part C Extension Grant (Continued)

C. Direct Services (Funded by Federal IDEA Part C Funds)

120000When completing this section include:

- A description of any direct early intervention service that the State lead agency expects to provide to eligible children and their families with Federal IDEA Part C funds;
- The approximate amount for each direct service (States must disaggregate by service the approximate amount of Federal IDEA Part C funds expected to be expended for each direct service); and
- A subtotal of the amount.

(Add rows as needed.)

Description of Each Direct Early Intervention Service	Approximate Amount of Federal IDEA Part C Funds to be Spent on Each Direct Service
Subtotal of amount under C:	\$0.00

Second Section III – Part C Extension Grant (Continued)

D. Activities by Other State Agencies

If State agencies (other than the State lead agency) are to receive a portion of the Federal IDEA Part C funds and that amount is not already identified in Section III.C above, the State must include in this section:

- The name of each State public agency expected to receive funds;
- The approximate amount of funds each State public agency will receive; and
- A summary of the purposes for which the funds will be used.

Provide subtotal of amount. *(Add rows as needed.)*

State Agency Receiving Funds	Amount of Funds	Purpose
Subtotal of amount under D:	\$0	

Second Section III – Part C Extension Grant (Continued)

E. Description of Optional Use of IDEA Part C Funds⁸

In addition to using Federal IDEA Part C funds to maintain and implement the statewide system of early intervention, States may use funds for:

- expanding and improving on services for infants and toddlers and their families that are otherwise available; and
- initiating, expanding, or improving collaborative efforts related to at-risk infants and toddlers in any State that does not provide services for at-risk infants and toddlers. The application must include:
 - The name of the major activity;
 - The approximate amount of funds to be spent; and
 - A description of the activities.

Provide subtotal of amount. *(Add rows as needed.)*

Major Activity	Part C Funds to be Spent	Description of Activities
Subtotal of amount under E:	\$0.00	

⁸ See IDEA section 638.

Second Section III – Part C Extension Grant (Continued)

F. Totals

Enter the subtotal amounts for Sub Sections A-E found in Section III and any indirect costs charged as specified in Section IV.B. The subtotal amounts (Rows 1-6) should total the estimated grant application amount. (A State may apply for less than the full estimated allotted amount.)

Row No.	Section	Amount
Enter the subtotal amounts for Sub Sections A-E found in Section III of this application.		
1.	III.A.	\$0.00
2.	III.B.	\$1,008,420.21
3.	III.C.	\$0.00
4.	III.D.	\$0.00
5.	III.E.	\$0.00
Enter any Indirect Costs to be Charged (See Section IV.B of this application.)		
6.	IV.B	\$19,075.00
Total (Rows 1-6)		\$1,027,495.21

Proposed Priority Rubric Measures 2023-2024

Key Areas

Topic Areas- inclusive of equity	Abbreviation
Family Centered	FC
Evidence Based Practices	EBP
Leadership/Staffing	LS
Fiscal Management	FM
Data Management	DM
IDEA Compliance	IC

Area	Measure & Rating:	3 / 1.5	5 / 2.5	Weight
1	EBP	# of professional development activities program participated in. (total of 3) Participated in more than one Participated in one Did not participate		Full Weight
2	IC	# of 2022 ICSA items needing correction more than 1 1 0		Full Weight
3	IC	# of Technical assistance and monitoring activities participated in over the last 3 years Participated in more than one Participated in one Participated in none		Full Weight
4	LS	% of Time billed by SW compared to all EITS billed. (Jan. 2023) 5% or more Over 1% to 4% 0 to 1% hours		Full Weight
5	DM	Paraprofessional data errors of billing for eval or assessment (Jan. 2023) No errors 1 Error more than 1		Full Weight
6	FM	Difference of reported amounts for Medicaid on expense report vs. amounts reported through PCG Provider Payment Profile -5% to +5% -10% to -6% and +6% to +10% less/more than -10% and +10%		Full Weight
7	FM	Difference of reported amounts for Commercial Insurance on expense report vs. amounts reported through PCG Provider Payment Profile -5% to +5% -10% to -6% and +6% to +10% less/more than -10% and +10%		Full Weight
8	FC/IC	Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner. (1) State Target 100% No errors 1 Error more than 1		Half Weight
9	FC/IC	Percent of children who "reduced the gap" in their development when compared to same-aged peers. (3a1) State Target 74% 74% or more Between 63 to 73% less than 62%		Half Weight
10	FC/IC	Percent of children who "caught up" to same-aged peers. (3a2) State Target 60% 60% or more Between 49 to 59% 48% or less		Half Weight
11	FC/IC	Percent of children who "reduced the gap" in their development when compared to same-aged peers (3b1) State Target 82% 82% or more Between 72 to 81% 71% or less		Half Weight
12	FC/IC	Percent of children who "caught up" to same-aged peers. (3b2) State Target 53% 53% or more Between 42 to 52% 42% or less		Half Weight
13	FC/IC	Percent of children who "reduced the gap" in their development when compared to same-aged peers. (3c1) State Target 82% 82% or more Between 73% to 81% 72% or less		Half Weight
14	FC/IC	Percent of children who "caught up" to same-aged peers. (3c2) State Target 73% 73% or more Between 62 to 72% 61% or less		Half Weight
15	FC/IC	Percent of families in Birth to Three for at least six months who report that early intervention services have helped the family know their rights (4a) State Target 90% 90% or more Between 79 to 89% 78% or less		Half Weight
16	FC/IC	Percent of families in Birth to Three for at least six months who report that early intervention services have helped the family effectively communicate their children's needs (4b) State Target 91% 91% or more Between 79 to 90% 79% or less		Half Weight
17	FC/IC	Percent of families in Birth to Three for at least six months who report that early intervention services have helped the family help their children develop and learn (4c) State Target 93% 93% or more Between 82 to 92% 82% or less		Half Weight
18	FC/IC	Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were held within 45 day from referral. (7) State Target 100% 0 Late due to Program Issues 1 to 2 Late due to Program Issues more than 2 Late due to Program Issues		Half Weight
19	FC/IC	Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including IFSPs with transition steps and services (8a) State Target 100% 100% Between 95 to 99% 94% or less		Half Weight

OEC Birth to Three System Direct Service Expenditures¹

Invoice	Jun-23	Jul-23	Aug-23	Sep-23	Oct-23	Nov-23	Dec-23	Jan-24	Feb-24	Mar-24	Apr-24	May-24
Paid in	Jul-23	Aug-23	Sep-23	Oct-23	Nov-23	Dec-23	Jan-24	Feb-24	Mar-24	Apr-24	May-24	Jun-24
Escrow	1,902,012	1,323,962	1,914,506	1,801,177	1,886,941	1,935,604						
GAP	1,098,000	1,105,400	1,151,000	1,038,800	1,024,600	1,041,000						
Interpretation	19,065	34,478	36,562	21,088	85,858	69,759						
ATech + Other	45,733	54	28,317	5,806	38,124	23,986						
Total	3,064,810	2,463,894	3,130,385	2,866,871	3,035,523	3,070,349						

Commercial Insurance Payments²

	Jul-23	Aug-23	Sep-23	Oct-23	Nov-23	Dec-23	Jan-24	Feb-24	Mar-24	Apr-24	May-24	Jun-24
Claims Paid	343,848	358,554	334,584	354,534	386,115	337,811						
Amount Billed	1,489,089	1,454,610	1,302,414	1,315,290	1,243,527	1,111,527						
%Paid/Billed	23%	25%	26%	27%	31%	30%						
Billing Fees	-	20,902	52,920	29,146	29,913	-						

Birth to Three Funding:	
State Appropriation	32,952,407
Part B Evaluations	1,130,000
Part C for Direct Services	1,839,988
SID 29685/87 Billing Fees	110,223
Total Funding	36,032,618
Surplus (Deficit)	(366,731)

Direct Service Hours (Units were used prior to 11/1/17)						
FY	19 Act.	20 Act.	21 Act.	22 Act.	23 Act.	24 Est.
Jun	36,792	39,459	33,683	34,276	39,605	43,921
Jul	36,942	42,673	34,273	32,815	36,033	39,425
Aug	38,265	41,179	30,114	33,879	40,831	44,786
Sept	35,742	42,384	31,226	33,868	38,246	39,281
Oct	41,640	44,791	31,724	34,088	38,367	43,667
Nov	37,810	38,150	29,208	33,941	38,327	41,876
Dec	33,032	49,433	30,734	30,902	35,619	38,931
Jan	41,645	42,772	30,865	33,140	41,201	45,033
Feb	37,283	41,300	30,888	35,092	34,875	38,118
Mar	40,631	32,283	35,848	41,678	46,094	50,380
Apr	42,542	33,630	31,892	36,031	39,656	43,344
May	44,478	32,416	31,720	37,926	45,356	49,574
Total	466,801	480,470	382,171	417,634	474,209	518,337
% Chg	344.34%	2.93%	-20.46%	9.28%	13.55%	9.31%

Actual
Estimated

- 1) Based on Budget Tracking system as of January 2024
- 2) Based on data from PCG using date paid not date of service
Values in grey are being double checked with PCG.
- 3) Based on monthly estimates by the OEC including a list of assumptions and data that change frequently
- 4) Based on reconciled invoices (actual) and estimates using a list of assumptions using available information