

CT Part C

FFY2016 State Performance Plan / Annual Performance Report

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR) Introduction to the State Performance Plan (SPP)/Annual Performance Report (APR)

Executive Summary:

As of 7/1/15, the Office of Early Childhood (OEC) is the state agency in Connecticut that is referred to as the "lead agency" for Part C of the Individuals with Disabilities Education Act (IDEA) or Birth to Three. During the year from 7/1/16 through 6/30/17 the OEC had contracts with a central intake office and 33 agencies to provide comprehensive Early Intervention Services (EIS). All referrals are received by the state's central intake office which is called 211 or Child Development Infoline (CDI). Staff at CDI describe the Birth to Three System of supports for families and as appropriate any related family cost participation fees. The records for families that agree to having a Birth to Three evaluation are sent electronically to one of the EIS programs that serves the town where they live. The programs are required to complete all aspects of supporting families from referral through to the time when the family exits Birth to Three.

These contracts in combination with clear procedures, statewide forms, technical assistance, a centralized transactional database, and positive working relationships, allow the lead agency to verify that EIS programs consistently achieve high levels of compliance with the IDEA and positive results for families and their children.

Since the US Dept of Education first started making determinations about how states are meeting the requirements of the IDEA, the Office of Special Education Programs (OSEP) has determined that Connecticut's Birth to Three System has met requirements each year. This is the highest level possible and Connecticut is committed to maintaining high levels of compliance and results.

In October of 2016 OSEP initially identified the level of engagement they would have with states as part of a new Differentiated Monitoring System. Because Part C in Connecticut was under a new lead agency, had new leadership, and was (is) in the midst of changing how EIS programs are paid as part of revisions to Medicaid billing, the state needed intensive Fiscal TA. At that time Connecticut only required universal technical assistance (TA) for Results (Indicators 3 and 4), Compliance (Indicators 1, 7, 8a-c, and 10), Child Find (Indicators 5 and 6) and the State Systemic Improvement Plan (SSIP) (Indicator 11). Universal TA is an indication that the state is doing well.

The changes to how EIS programs are paid did not take effect until November 1, 2017 which is after the reporting period for this report. The change however has been a primary focus for the lead agency, partner agencies, the State Interagency Coordinating Council (SICC), EIS programs, referral sources, and LEAs. Despite this shift of time and resources the state continued to demonstrate high levels of compliance with IDEA and results for families between 7/1/16 and 6/30/17.

Attachments

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General Supervision System:

The systems that are in place to ensure that IDEA Part C requirements are met, e.g., monitoring systems, dispute resolution systems.

General Supervision for Part C in Connecticut includes all of the sections described in this introduction as well as other components such as policies and procedures, fiscal management, and data on processes and results.

The monitoring and dispute resolution components are integrated and include multiple mechanisms to identify and correct noncompliance with the Individuals with Disabilities Education Act (IDEA) and state requirements.

Connecticut's general supervision system is comprised of broad activities, focused activities and intensive activities.

Broad Activities:

The lead agency conducts several annual general supervision activities for each EI program to monitor the implementation of the IDEA and identify possible areas of noncompliance and low performance. The annual activities include:

1. Collection and verification of data for the SPP/APR compliance and results indicators,
2. Public Reporting of APR data, and
3. Determinations about how local programs are meeting the requirements of the IDEA.

Other activities are completed on a cyclical basis, such as program self-assessments resulting in improvement plans with timelines for correction, and fiscal monitoring that addresses the use of federal and/or state funds as well as the timeliness and accuracy of billing the lead agency, parents, and third party payers. Finally, the state reissues Requests For Proposals (RFPs) every 5-7 years which helps to bring in new programs and can increase the capacity and coverage for those with the best applications while reducing or eliminating those that do not stay current with evidence-based practices in early intervention.

Focused Activities:

Focused Monitoring is a critical component of Connecticut's system of general supervision. Every few years stakeholders identify a key priority area with selection measure(s). Then annually EIS programs are ranked and selected. Focused monitoring may include off-site activities such as desk audits or an in-depth review of available data, on-site monitoring activities such as file reviews, interviews with families and staff, and additional activities as determined necessary based on the identified issues. Reports include findings of noncompliance as well as strengths and areas that need improvement.

The lead agency ensures the timely resolution of disputes related to the IDEA requirements through a variety of means including IFSP facilitation, mediation, complaint investigation, and due process hearings. The effectiveness of dispute resolution is evaluated regularly and issues are tracked to determine whether patterns or trends exist. This analysis is useful for prioritizing monitoring and technical assistance activities and for making changes to policies and procedures as needed.

Intensive Activities:

Intensive activities may be necessary based on issues identified through general or focused monitoring activities, complaints, or analysis of data in the statewide database. Activities include on-site visits, targeted family and staff interviews, and required technical assistance.

Identification of Noncompliance

Both systemic and child-specific noncompliance with state and federal regulatory requirements can be identified at all levels (Broad, Focused, and Intensive). All noncompliance is identified to the program in writing along with the details to support the finding (e.g. the measure, actual percentages, regulatory references). For child-specific noncompliance, the evidence that is needed to verify correction is described during the exit interview, followed up in writing and includes a timeline for correction that is usually between 2-3 weeks. For systemic noncompliance, programs are required within 30 days to develop an online improvement plan with timelines for correction. As part of the notification of findings of noncompliance, programs are informed that all systemic noncompliance must be corrected as soon as possible, but in no case later than one year from the date of the written notification.

NOTE: Connecticut Part C identifies one finding per regulatory reference even if there are multiple instances of noncompliance. The total findings are based on all of the State's monitoring components and not just APR data.

Correction of Noncompliance

The correction of child-specific noncompliance is followed closely by the Family Liaison who is also the manager of the Family and Community Support Team.

The correction of systemic noncompliance is tracked by the Systems Support Team using a variety of methods including data reports, emails, faxes, on-site visits and phone calls. When systemic noncompliance cannot be verified as corrected within one year of the written notification, the lead agency develops a Corrective Action Plan (CAP) with the EIS program which identifies activities, timelines, technical assistance (TA), and possible sanctions that may be imposed. Sanctions include a Compliance Agreement, limiting referrals, withholding funds, and steps toward contract termination.

As a result of its contracts with EIS Programs, the lead agency is able to support high quality programs and discontinue working with programs that do not meet requirements despite TA.

More in-depth descriptions about the component of Connecticut's Part C general supervision system are available on the state's newly designed website Birth23.org. There, by searching, one can find program rankings, current monitoring measures, data verification reports, focused monitoring reports, and other descriptions of the various ways Connecticut works with its programs.

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Technical Assistance System:

The mechanisms that the State has in place to ensure the timely delivery of high quality, evidenced based technical assistance and support to early intervention service (EIS) programs.

Subject matter experts coordinate the technical assistance (TA) provided to programs within the system. The lead agency also has a parent leadership contract with the state's Parent Training and Information Center (PTI). With assistance from the Connecticut Parent Advocacy Center (CPAC), parents are regularly included in TA.

The need for TA can be identified in the following ways:

- staff or program request,
- as a result of program monitoring/self-assessment,
- based on a complaint received by the system,
- changes to policies or procedures, and
- literature about evidence-based and promising practices.

TA topics include but are not limited to: coaching methods, natural learning environment practices, using a primary provider approach, supporting families in crisis, using the data system and reporting tools, and adherence to Connecticut Birth to Three System policies and procedures. Programs requesting TA are responsible for developing their outcomes. The lead agency offers a follow-up support after 3-4 months to answer questions that arise.

Each TA session is followed by an evaluation so programs can rate the lead agency on the timeliness of the TA response, the quality of the materials presented, and how the desired outcomes were met.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

The primary focus of TA in this reporting period has been changes to the payment procedure and Activity Based Teaming (ABT). To learn more about ABT visit Birth23.org/aboutb23/looksluke/.

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Professional Development System:

The mechanisms the State has in place to ensure that service providers are effectively providing services that improve results for infants and toddlers with disabilities and their families.

A quality self-assessment has been developed for the purpose of monitoring programs implementation of evidence based practices as part of the State's SSIP (see Indicator 11). Program directors will get the results of their staff's self-assessment and then develop a plan for their agency to improve service delivery. Results will also be available to the state to monitor performance year to year by program. The lead agency expects to see that a programs "quality" improves from year to year. The instrument will be piloted with several programs beginning in January 2018.

The lead agency has offered training for three cohorts on natural learning environment practices, coaching and primary service provider. Following the training providers receive 6-8 months of technical assistance in the form of coaching log reviews. Each log is reviewed with a focus on the types of questions asked, the adequacy of the joint plan, use of activities versus focus on skills, capacity building and use of modeling observation, etc. These points are used to determine an individual provider's fidelity. The lead agency maintains a list of those who have reached fidelity as well as those who have done additional logs and training to be considered a "master Coach"

The lead agency partners with the University Center for Excellence in Developmental Disabilities to present the Early Intervention Specialist course. The course coordinators work closely with the lead agency to present current best practice research. This course changes the way people practice, describe early intervention to families and ensures that they are working to increase the family's capacity to meet the needs of their child.

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Stakeholder Involvement: apply this to all Part C results indicators

The mechanism for soliciting broad stakeholder input on targets in the SPP, including revisions to targets.

This Annual Performance Report (APR) of the State Performance Plan (SPP) was developed with broad stakeholder input. At a State Interagency Coordinating Council (ICC) meeting in December 2017 the members reviewed results from FFY16 (7/1/16-6/30/17) for each indicator.

A draft PDF of the APR as entered into the GRADS360 online submission tool was posted on the Birth to Three website, www.Birth23.org in December 2017. A blog post announcing this was emailed to over 1000 providers, ICC members, parents, and advocates requesting comments. The link to the draft was also posted on [Facebook.com/CTBirth23](https://www.facebook.com/CTBirth23) and [Twitter.com/CTBirth23](https://twitter.com/CTBirth23). The Office of Early Childhood (OEC) shared and retweeted the posts to broaden the reach. The link was also sent to the PTI, [CPAC](http://www.cpac.org) and to several national technical assistance (TA) centers including [NCSI](http://www.ncsi.org), [DaSy](http://www.dasy.org), and the [ECTA Center](http://www.ectacenter.org) whose staff reviewed the draft and provided helpful guidance.

The draft was also emailed directly to a subcommittee of the State ICC who reviewed the file in detail, asked questions, and suggested edits. Leadership from the lead agency and the OEC also reviewed the APR and made suggestions. The ICC approved the final edits so that this Annual Performance Report (APR) fulfills the obligations of the State Interagency Coordinating Council to report to the U.S. Department of Education in the current fiscal year (see attached certification).

Attachments

File Name	Uploaded By	Uploaded Date
No APR attachments found.		

Reporting to the Public:

How and where the State reported to the public on the FFY 2015 performance of each EIS Program or Provider located in the State on the targets in the SPP/APR as soon as practicable, but no later than 120 days following the State's submission of its FFY 2015 APR, as required by 34 CFR §303.702(b)(1)(i)(A); and a description of where, on its Web site, a complete copy of the State's SPP, including any revision if the State has revised the SPP that it submitted with its FFY 2015 APR in 2017, is available.

The Connecticut Birth to Three System is fortunate to have a website that is under its direct control. This website is a content management system that Part C staff update regularly.

A link to the "Grads360" public facing SPP/APRs was posted on the Birth to Three website. A PDF of this FFY16 APR was posted under Birth23.org until the public version is available on the Grads360 site.

The tables that display data by program or, in some cases, by county for each indicator are completed prior to submitting the APR as a way to assure the accuracy of the information. The tables are posted on Birth23.org during the month of February directly after the APR is submitted. The direct link is here <http://www.birth23.org/aboutb23/gensup/pr/>

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

When the tables are updated on Birth23.org, a blog post is emailed to over 1000 stakeholders and announcements with links are posted on the /CTBirth23 Facebook page and @CTBirth23 Twitter account. These are then shared by the Office of Early Childhood through their social media accounts.

Attachments

File Name	Uploaded By	Uploaded Date
No APR attachments found.		

Actions required in FFY 2015 response

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**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 1: Timely provision of services**

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with Individual Family Service Plans (IFSPs) who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		97.40%	97.00%	98.00%	99.00%	99.00%	99.00%	97.00%	98.00%	99.16%	98.49%

FFY	2015
Target	100%
Data	98.97%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 SPP/APR Data

Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	Total number of infants and toddlers with IFSPs	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
2202	2293	98.97%	100%	99.65%

<p>Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of infants and toddlers with IFSPs who receive their early intervention services on their IFSPs in a timely manner" field above to calculate the numerator for this indicator.</i></p>	83
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Include your State's criteria for "timely" receipt of early intervention services (i.e., the time period from parent consent to when IFSP services are actually initiated).
 Timely are those new EI services that are planned to start within 45 days and are in fact initiated within 45 days of the IFSP meeting when the parent signed the plan consenting to the services as written.

What is the source of the data provided for this indicator?

- State monitoring
- State database

Describe the method used to select EIS programs for monitoring.

Using its statewide data system, Connecticut reviewed the Individualized Family Service Plans (IFSPs) for ALL children with IFSPs on 12/1/16. This point in time is representative of the reporting period because it is the same date used for Indicators 2, 5, and 6 in this report. Even though the source of the data is the State Database, the state was asked to report the source of this data as "State Monitoring" because the state is only monitoring a point in time. However, all EIS programs were monitored at the same time using the data in the state database.

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
7	7	0	0

FFY 2015 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

The correction of all findings and the correct implementation of the regulatory requirements were verified for each program using subsequent data runs from the statewide centralized transactional data system combined with data verification emails and phone calls.

Describe how the State verified that each individual case of noncompliance was corrected

This is an indicator with a timeline. The individual cases of late new services could not be corrected. In each case where the new service data was missing, the state verified, using the statewide database, emails and phone calls with local programs, that the new service was ultimately provided or that the family exited Birth to Three before the new service could be started.

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 2: Services in Natural Environments**

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			95.00%	95.00%	95.00%	95.00%	95.00%	95.00%	95.00%	95.00%	95.00%
Data		99.60%	99.00%	99.00%	99.00%	99.00%	99.00%	99.00%	99.90%	99.96%	99.98%

FFY	2015
Target ≥	95.00%
Data	99.96%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥	95.00%	95.00%	95.00%

Key:

Targets: Description of Stakeholder Input - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 Child Count/Educational Environment Data Groups	7/12/2017	Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	4,803	
SY 2016-17 Child Count/Educational Environment Data Groups	7/12/2017	Total number of infants and toddlers with IFSPs	4,804	

FFY 2016 SPP/APR Data

Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Total number of infants and toddlers with IFSPs	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
4,803	4,804	99.96%	95.00%	99.98%

Actions required in FFY 2015 response

none

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 3: Early Childhood Outcomes

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Does your State's Part C eligibility criteria include infants and toddlers who are at risk of having substantial developmental delays (or "at-risk infants and toddlers") under IDEA section 632(5)(B)(i)? No

Historical Data

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
A1	2013	Target ≥						87.10%	84.70%	84.70%	84.70%	67.00%	67.00%
		Data					89.30%	84.70%	83.10%	77.60%	75.60%	72.77%	74.03%
A2	2013	Target ≥						53.40%	51.20%	51.20%	51.20%	59.00%	59.00%
		Data					53.80%	51.20%	55.60%	58.60%	59.40%	59.60%	60.05%
B1	2013	Target ≥						90.40%	88.00%	88.00%	88.00%	82.00%	82.00%
		Data					92.10%	88.00%	83.20%	84.30%	82.80%	82.75%	82.50%
B2	2013	Target ≥						54.20%	53.80%	53.80%	53.00%	52.00%	52.00%
		Data					55.30%	53.80%	51.70%	50.60%	52.40%	50.95%	51.95%
C1	2014	Target ≥						90.60%	88.50%	88.50%	88.50%	82.00%	82.00%
		Data					91.90%	88.50%	88.80%	87.80%	86.00%	85.06%	83.65%
C2	2014	Target ≥						53.70%	53.20%	53.20%	53.20%	65.00%	65.00%
		Data					55.60%	53.20%	63.60%	69.60%	69.90%	72.05%	71.13%

	FFY	2015
A1	Target ≥	67.00%
	Data	73.69%
A2	Target ≥	59.00%
	Data	59.61%
B1	Target ≥	82.00%
	Data	82.79%
B2	Target ≥	52.00%
	Data	51.31%
C1	Target ≥	82.00%
	Data	83.70%
C2	Target ≥	65.00%
	Data	71.49%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target A1 ≥	67.00%	67.00%	73.00%
Target A2 ≥	59.00%	59.00%	60.00%
Target B1 ≥	82.00%	82.00%	83.00%
Target B2 ≥	52.00%	52.00%	53.00%
Target C1 ≥	82.00%	82.00%	84.00%
Target C2 ≥	65.00%	65.00%	72.00%

Key:

Explanation of Changes

For B2 53% was the original target submitted as part of the State Performance Plan in January 2015.

Targets: Description of Stakeholder Input - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

FFY 2016 SPP/APR Data

Number of infants and toddlers with IFSPs assessed	2872.00
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Outcome A: Positive social-emotional skills (including social relationships)

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	28.00	0.97%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	528.00	18.38%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	567.00	19.74%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	980.00	34.12%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	769.00	26.78%

	Numerator	Denominator	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
A1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program $(c+d)/(a+b+c+d)$.	1547.00	2103.00	73.69%	67.00%	73.56%
A2. The percent of infants and toddlers who were functioning within age expectations in Outcome A by the time they turned 3 years of age or exited the program $(d+e)/(a+b+c+d+e)$.	1749.00	2872.00	59.61%	59.00%	60.90%

Outcome B. Acquisition and use of knowledge and skills (including early language/ communication)

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	23.00	0.80%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	402.00	14.00%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	933.00	32.49%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	1223.00	42.58%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	291.00	10.13%

	Numerator	Denominator	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
B1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program $(c+d)/(a+b+c+d)$.	2156.00	2581.00	82.79%	82.00%	83.53%
B2. The percent of infants and toddlers who were functioning within age expectations in Outcome B by the time they turned 3 years of age or exited the program $(d+e)/(a+b+c+d+e)$.	1514.00	2872.00	51.31%	52.00%	52.72%

Outcome C: Use of appropriate behaviors to meet their needs

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	15.00	0.52%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	287.00	9.99%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	439.00	15.29%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	1369.00	47.67%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	762.00	26.53%

	Numerator	Denominator	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
C1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program $(c+d)/(a+b+c+d)$.	1808.00	2110.00	83.70%	82.00%	85.69%

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

	Numerator	Denominator	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
C2. The percent of infants and toddlers who were functioning within age expectations in Outcome C by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	2131.00	2872.00	71.49%	65.00%	74.20%

The number of infants and toddlers who did not receive early intervention services for at least six months before exiting the Part C program

The number of infants and toddlers who exited the Part C program during the reporting period, as reported in the State's part C exiting 618 data	
The number of those infants and toddlers who did not receive early intervention services for at least six months before exiting the Part C program.	1422

Please note that this data about the number of infants and toddlers who did not receive early intervention services for at least six months before exiting the Part C program is optional in this FFY16 submission. It will be required in the FFY17 submission.

Was sampling used? No

Did you use the Early Childhood Outcomes Center (ECO) Child Outcomes Summary (COS) process? Yes

List the instruments and procedures used to gather data for this indicator.

NOTE: The process that follows is only completed when families receive their first Early Intervention (EI) visit before the child reaches 30 months of age.

The staff at each Early Intervention Service (EIS) program complete the Child Outcome Summary (COS) process developed by the Early Childhood Outcomes (ECO) Center at entry (within one month of the initial IFSP) and at exit (within one month of the family exiting Birth to Three) whenever that is possible. The original COS rating scale was combined with the ECO decision tree. The form is completed with the family which means that when families move out of state or withdraw from EI supports unexpectedly, the program may not be able to complete the process at exit. Decisions are informed by family input and the results of a curriculum embedded assessment approved by the lead agency which is updated throughout the child's enrollment and completed as part of transition planning. Programs have access to crosswalks that were made available early on by the ECO center.

The ratings and the answers to the questions about new skills are entered throughout the year into the State's transactional database. Local programs are able to download their raw data and analyze it using training videos provided by the lead agency. The lead agency exports the data annually and uses an Excel file calculator developed by the ECO center to generate the progress categories above. The lead agency also uses other tools developed by the DASYS center and ECTA to analyze meaningful differences and representativeness.

Actions required in FFY 2015 response

none

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 4: Family Involvement

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
A	2006	Target ≥					83.00%	83.00%	83.00%	83.00%	83.00%	86.00%	86.00%
		Data			79.00%	81.00%	84.50%	84.70%	87.90%	84.50%	91.17%	90.60%	89.04%
B	2006	Target ≥					80.00%	80.00%	80.00%	80.00%	80.00%	85.00%	85.00%
		Data			75.00%	79.00%	82.30%	82.20%	86.30%	82.70%	89.77%	88.78%	87.05%
C	2006	Target ≥					91.00%	91.00%	91.00%	91.00%	91.00%	93.00%	93.00%
		Data			87.00%	91.00%	92.90%	91.10%	94.70%	92.30%	95.30%	96.56%	95.37%

	FFY	2015
A	Target ≥	86.00%
	Data	91.11%
B	Target ≥	85.00%
	Data	88.61%
C	Target ≥	93.00%
	Data	96.51%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target A ≥	86.00%	86.00%	86.00%
Target B ≥	85.00%	85.00%	85.00%
Target C ≥	93.00%	93.00%	93.00%

Key:

Targets: Description of Stakeholder Input - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

FFY 2016 SPP/APR Data

Number of families to whom surveys were distributed	2378.00
Number of respondent families participating in Part C	64.47% 1533.00
A1. Number of respondent families participating in Part C who report that early intervention services have helped the family know their rights	1367.00
A2. Number of responses to the question of whether early intervention services have helped the family know their rights	1533.00
B1. Number of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	1327.00
B2. Number of responses to the question of whether early intervention services have helped the family effectively communicate their children's needs	1533.00
C1. Number of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn	1467.00
C2. Number of responses to the question of whether early intervention services have helped the family help their children develop and learn	1533.00

	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
A. Percent of families participating in Part C who report that early intervention services have helped the family know their	91.11%	86.00%	89.17%

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
rights			
B. Percent of families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	88.61%	85.00%	86.56%
C. Percent of families participating in Part C who report that early intervention services have helped the family help their children develop and learn	96.51%	93.00%	95.69%

Was sampling used? No

Was a collection tool used? Yes

Is it a new or revised collection tool? No

Include the State's analysis of the extent to which the demographics of the families responding are representative of the demographics of infants, toddlers, and families enrolled in the Part C program.

Because most surveys are returned with "very strongly agree" selected for all or most of the items, when a survey was opened and found to have "very strongly disagree" selected for all the items with no negative comments, state staff called the family to determine the reasons. In most cases, the families indicated that they had accidentally reversed their responses and, with permission, the form was corrected before being scanned. This occurred less than 1% of the time.

Connecticut interprets "enrolled in the Part C program" as those families who had an IFSP on 2/1/17, having been in Early Intervention for at least six months. All of those families are sent surveys (census). The demographics of the response pool (those that completed the survey) were compared to the census using a representiveness calculator created by the Early Childhood Technical Assistance Center (http://ectacenter.org/eco/assets/xls/Representativeness_calculator.xlsx). In the calculator, the state compared race, ethnicity, language, insurance type, and length of time in EI. The response pool was determined to be representative on all 16 variables and 11 of the variables had response rates over 60%.

This indicator is aligned with Indicator 11 of this report (the State Systemic Improvement Plan or SSIP). The survey data is used for a variety of activities and the validity, reliability and representativeness of it is of critical importance to stakeholders.

The demographics of the families responding are representative of the demographics of infants, toddlers, and families enrolled in the Part C program. No

Actions required in FFY 2015 response

none

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 5: Child Find (Birth to One)**

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			1.05%	1.20%	1.10%	1.10%	1.20%	1.20%	1.20%	1.20%	1.20%
Data		0.93%	1.23%	0.94%	1.20%	1.25%	1.19%	1.25%	1.16%	1.24%	1.15%

FFY	2015
Target ≥	1.20%
Data	1.34%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥	1.21%	1.21%	1.21%

Key:

Targets: Description of Stakeholder Input - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 Child Count/Educational Environment Data Groups	7/12/2017	Number of infants and toddlers birth to 1 with IFSPs	468	null
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2016	6/22/2017	Population of infants and toddlers birth to 1	38,362	36,202
TBD			null	

Explanation of Alternate Data

**Puzzanchera, C., Sladky, A. and Kang, W. (2017). "Easy Access to Juvenile Populations: 1990-2016."
Online. Available: <http://www.ojjdp.gov/ojstatbb/ezapop/>

FFY 2016 SPP/APR Data

Number of infants and toddlers birth to 1 with IFSPs	Population of infants and toddlers birth to 1	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
468	36,202	1.34%	1.21%	1.29%

Compare your results to the national data

Connecticut is above the national average.

none

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 6: Child Find (Birth to Three)**

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			3.10%	3.15%	3.15%	3.15%	3.19%	3.19%	3.19%	3.75%	3.75%
Data		3.16%	3.41%	3.35%	3.63%	3.78%	3.82%	3.87%	3.90%	3.97%	4.18%

FFY	2015
Target ≥	3.75%
Data	4.27%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥	3.87%	3.87%	3.87%

Key:

Targets: Description of Stakeholder Input - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 Child Count/Educational Environment Data Groups	7/12/2017	Number of infants and toddlers birth to 3 with IFSPs	4,804	
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2016	6/22/2017	Population of infants and toddlers birth to 3	116,981	110100
TBD			null	

Explanation of Alternate Data

**Puzzanchera, C., Sladky, A. and Kang, W. (2017). "Easy Access to Juvenile Populations: 1990-2016."
Online. Available: <http://www.ojdp.gov/ojstatbb/ezapop/>

FFY 2016 SPP/APR Data

Number of infants and toddlers birth to 3 with IFSPs	Population of infants and toddlers birth to 3	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
4,804	110,100	4.27%	3.87%	4.36%

Compare your results to the national data

Connecticut is above the National average.

Actions required in FFY 2015 response

none

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 7: 45-day timeline**

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		95.00%	97.00%	85.00%	99.90%	99.00%	99.90%	100%	100%	99.95%	99.92%

FFY	2015
Target	100%
Data	99.96%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

FFY 2016 SPP/APR Data

Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline	Number of eligible infants and toddlers evaluated and assessed for whom an initial IFSP meeting was required to be conducted	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
4,071	5,046	99.96%	100%	99.98%

Number of documented delays attributable to exceptional family circumstances

This number will be added to the "Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline" field above to calculate the numerator for this indicator.

974

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

The full reporting period of July 1, 2016 through June 30, 2017

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

Data are from the Connecticut Birth to Three data system for the period July 1, 2016 through June 30, 2017 and were verified using emails and a variety of general supervision components including on-site monitoring, self-assessments, data verification visits, and complaints.

Provide additional information about this indicator (optional)

There was only one late meeting during the FFY16 reporting period. No finding of noncompliance was made because the EIS program that served that family closed.

There were only two late meetings during the FFY15 reporting period and no findings were made because both were at the same program and both late meetings occurred only in 1st quarter of the reporting period. The program demonstrated 100% compliance during the remaining three quarters before the annual data was collected and analyzed and findings could be issued.

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
0	0	0	0

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8A: Early Childhood Transition**

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		99.90%	99.90%	99.90%	100%	100%	100%	100%	100%	100%	100%

FFY	2015
Target	100%
Data	100%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 SPP/APR Data

Explanation of Alternate Data

While the families of 4977 children exited Part C between July 1, 2016 and June 30, 2017, only 3508 exited after the 90 day deadline for this indicator. Of those, 258 had their initial IFSP meeting within 90 days of age three. This leaves 3250 children for whom there should have been an IFSP with transition steps and services at least 90 days before the toddler's third birthday.

Data include only those toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday.

- Yes
- No

Number of children exiting Part C who have an IFSP with transition steps and services	Number of toddlers with disabilities exiting Part C	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
3,250	3,250	100%	100%	100%

Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of children exiting Part C who have an IFSP with transition steps and services" field to calculate the numerator for this indicator.</i>	0
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What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

The full reporting period, 7/1/16-6/30/17.

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Data are from the Connecticut Birth to Three data system for the entire reporting year and were verified using a variety of general supervision components including emails, ad hoc and standard data reports, on site monitoring, self-assessments, data verification visits, and complaints.

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
0	0	0	0

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8B: Early Childhood Transition**

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

FFY	2015
Target	100%
Data	100%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 SPP/APR Data

Explanation of Alternate Data

Over the course of the '16-'17 year, 4977 children exited Birth to Three. 195 of those children were determined to be eligible for Part C within 90 days of age 3 so the timeline for this indicator had already passed.

The families of an additional 1226 children exited Birth to Three before the child reached age 30 months so notification data was not sent about them and they were not considered to be potentially eligible for Part B early childhood special education.

The remaining 3556 children that exited in the '16-'17 year after turning age 30 months were considered to be potentially eligible because they had reached age 30 months and were still eligible for Part C.

Notification data was transmitted to the SEA and LEAs nightly for all 3556 children.

Potentially eligible for Part B at 30 months does not mean that the family stayed in Birth to Three until the child was 33 months old nor that the family approved including their LEA in transition planning. For these reasons the number for this indicator is higher than the number used for transition plans (8a) and transition conferences (8c).

Data include notification to both the SEA and LEA

- Yes
- No

Number of toddlers with disabilities exiting Part C where notification to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
3,556	3,556	100%	100%	100%

Number of parents who opted out

This number will be subtracted from the "Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B" field to calculate the denominator for this

0

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

indicator.

Describe the method used to collect these data

Since notification data is transmitted electronically from the Part C data system to the Part B data system every night for all children with IFSPs who are over the age of 30 months, the data for this indicator was collected from the Part C statewide transactional database as described above.

Do you have a written opt-out policy? No

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

7/1/16-6/30/17

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

Data are from the Connecticut Birth to Three data system for the entire reporting year and were verified using a variety of general supervision components including emails, ad hoc and standard data reports, on site monitoring, self-assessments, data verification visits, and complaints.

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
0	0	0	0

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8C: Early Childhood Transition**

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		98.00%	99.40%	99.60%	99.90%	99.00%	98.00%	99.00%	99.00%	99.36%	98.62%

FFY	2015
Target	100%
Data	99.93%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 SPP/APR Data

Data reflect only those toddlers for whom the Lead Agency has conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services

- Yes
- No

Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
2,572	3,250	99.93%	100%	99.55%

Number of toddlers for whom the parent did not provide approval for the transition conference <i>This number will be subtracted from the "Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B" field to calculate the denominator for this indicator.</i>	344
Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B" field to calculate the numerator for this indicator.</i>	321

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Full reporting period. July 1, 2016 to June 30, 2017.

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Data are from the Connecticut Birth to Three data system for the entire reporting year and were verified using a variety of general supervision components including emails, ad hoc and standard data reports, on site monitoring, self-assessments, data verification visits, and complaints.

The state dropped from two late conferences at two EIS programs (one each) in FFY15 to 13 in FFY16 at three EIS programs largely due to new program directors who were not correctly monitoring their staff on this measure. Findings were issued to two of the programs. The other program had only one late conference in the 1st quarter of the year and demonstrated 100% compliance for six months before the annual data was analyzed and findings were issued. For the families of the 13 children with late conferences, the lead agency determined that the conferences were held and that the individual instances of noncompliance could not be corrected because this is an indicator with a timeline.

Provide additional information about this indicator (optional)

No findings were issued in FFY15 as both EIS programs demonstrated 100% compliance for nine months before the annual data was analyzed and findings were issued and the state determined that the programs were correctly implementing the requirements before the APR findings were to have been issued.

For the families of the two children with late conferences, the lead agency determined that the conferences were held and that the individual instances of noncompliance could not be corrected because this is an indicator with a timeline.

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
0	0	0	0

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 9: Resolution Sessions**

Explanation of why this indicator is not applicable

Part C in Connecticut did not adopt Part B dispute resolution procedures.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures under section 615 of the IDEA are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

This indicator is not applicable.

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 10: Mediation**

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥											
Data								0%			100%

FFY	2015
Target ≥	
Data	

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥			

Key:

Targets: Description of Stakeholder Input - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/1/2017	2.1.a.i Mediations agreements related to due process complaints	n	null
SY 2016-17 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/1/2017	2.1.b.i Mediations agreements not related to due process complaints	n	null
SY 2016-17 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/1/2017	2.1 Mediations held	n	null

FFY 2016 SPP/APR Data

2.1.a.i Mediations agreements related to due process complaints	2.1.b.i Mediations agreements not related to due process complaints	2.1 Mediations held	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
0	0	0			100%

Provide additional information about this indicator (optional)

The State reported fewer than ten mediations held in FFY 2016. The State is not required to provide targets until any fiscal year in which ten or more mediations were held.

Actions required in FFY 2015 response

none

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Certify and Submit your SPP/APR**

I certify that I am the Director of the State's Lead Agency under Part C of the IDEA, or his or her designee, and that the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report is accurate.

Selected: Designated by the Lead Agency Director to certify

Name and title of the individual certifying the accuracy of the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report.

Name: Alice E. Ridgway

Title: Incoming Part C Coordinator

Email: alice.ridgway@ct.gov

Phone: 860-500-4403